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(54) Title: POLYMER SYNTHESIS

(57) Abstract

Process for the synthesis of block polymers, homopolymers and copolymers of narrow polydispersity having formula (1) by contacting selected vinyl monomer(s), vinylterminated compound(s) and free radicals in which effective control of production of polymer is achieved by controlling the mole ratio of vinyl monomer(s), vinyl-terminated compound(s) and free radicals relative to one another, and polymers produced thereby.

$$\begin{array}{c|c} CH_2 & V & X \\ \hline V & CH_2 & CH_2 \\ \hline \end{array} \qquad \begin{array}{c|c} CH_2 & CH_2 \\ \hline \end{array}$$

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# TITLE POLYMER SYNTHESIS

#### 1. Field of the Invention

This invention relates to a process for the synthesis of block copolymers and polymers of narrow polydispersity based on radical polymerization of monomers in the presence of unsaturated chain transfer agents.

#### 2. Background

Block copolymers are useful as pigment dispersants, surfactants, compatibilizers for polymer blends, thermoplastic elastomers and in a variety of other applications. Polymers with narrow molecular weight dispersity can enhance melt viscosity behavior, solids-viscosity relationships of polymer solutions and sharper melt transitions than the same composition at a higher polydispersity.

Conventional commercial techniques for synthesizing narrow polydispersed polymers and block copolymers include free-radical polymerization. Radical polymerization may be accomplished: (1) through the use of pseudo or quasi-living polymerization. These techniques make use of low molecular weight transfer agents and/or chain terminators; (2) through the use of transformation chemistry; (3) through the use of multifunctional or polymeric initiators.

This invention provides a method of employing certain vinyl compounds in the synthesis of polymers with narrow molecular weight distribution and block copolymers by free radical polymerization. Block copolymerization by radical polymerization has been described in PCT Application No WO 93/22355. This PCT application describes the mechanism of block copolymer formation but does not define conditions necessary for the preparation of high purity block copolymers, nor formation of narrow polydispersity resins.

### SUMMARY OF THE INVENTION

This invention is directed to a process for the synthesis of polymers (block, homo- and copolymers) of the general formula:

$$\begin{array}{c|c} CH_2 & V & X \\ \hline V & CH_2 & CH_2 \\ \hline \end{array} \right]_{m} \begin{array}{c|c} X & CH_2 \\ \hline \end{array}$$

comprising contacting:

(i) a vinyl monomer of the f rmula

$$CH_2 = CUV$$

(ii) a vinyl-terminated compound of formula

$$- \left( \begin{array}{c} X \\ CH_2 \\ Y \end{array} \right) \left( \begin{array}{c} X \\ C-CH_2 \\ Y \end{array} \right) \left( \begin{array}{c} X \\ D \end{array} \right)$$

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and

- (iii) free radicals, produced from a free radical source; and increasing the molar amount of polymers, 1, by one or both of:
  - (a) decreasing the molar amount of (iii) for any given conversion of (i); and

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(b) decreasing the molar amount of (i) for any given conversion of (iii); wherein:

Q is selected from the group H, R, OR, O<sub>2</sub>CR, halogen, CO<sub>2</sub>H, CO<sub>2</sub>R, CN, CONH<sub>2</sub>, CONHR and CONR<sub>2</sub>,

U is selected from H and R;

V is selected from R, OR, O<sub>2</sub>CR, halogen, CO<sub>2</sub>H, CO<sub>2</sub>R, CN, CONH<sub>2</sub>, CONHR and CONR<sub>2</sub>;

X is selected from H and R;

Y is selected from R, OR, O<sub>2</sub>CR, halogen, CO<sub>2</sub>H, CO<sub>2</sub>R, CN, CONH<sub>2</sub>, CONHR and CONR<sub>2</sub>;

Z is selected from the group H, SR<sup>1</sup>, S(O)R, S(O)<sub>2</sub>R, R<sup>2</sup> and R<sup>3</sup>;

R is selected from the group substituted and unsubstituted alkyl, aryl, aralkyl, alkaryl and organosilyl groups wherein the substituent(s) are independently selected from the group carboxyl, epoxy, hydroxyl, alkoxy, amino and halogen;

R<sup>1</sup> is selected from the group H, substituted and unsubstituted alkyl, aryl, aralkyl, alkaryl, organosilyl wherein the substituent(s) are independently selected from the group carboxyl, epoxy, hydroxyl, alkoxy, amino and halogen;

R<sup>2</sup> is selected from the group free radical initiator-derived fragments of substituted and unsubstituted alkyl, cycloalkyl, aryl, aralkyl, alkaryl, organosilyl, alkoxyalkyl, alkoxyaryl, sulfate groups wherein the substituent(s) are independently selected from R, OR<sup>1</sup>, O<sub>2</sub>CR, halogen, CO<sub>2</sub>H (and salts), CO<sub>2</sub>R, CN, CONH<sub>2</sub>,

R<sup>3</sup> is selected from the group radical chain transfer agent-derived fragments of substituted and unsubstituted alkyl, cycloalkyl, aryl, aralkyl, alkaryl, organosilyl, alkoxyalkyl, alkoxyaryl, and PR<sub>2</sub> groups wherein the substituent(s) are independently selected from R, OR<sup>1</sup>, SR, NR<sub>2</sub>, NHR, O<sub>2</sub>CR, halogen, CO<sub>2</sub>H, CO<sub>2</sub>R, CN, CONH<sub>2</sub>, CONHR, and CONR<sub>2</sub>.

m and n are independently  $\geq 1$ ; and

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when either or both of m and n are greater than 1, the repeat units are the same or different.

Each alkyl in the defined substituents is independently selected from branched, unbranched, and cyclical hydrocarbons having 1 to 20, preferably 1-12, and most preferably 1-8 carbon atoms; halo or halogen refers to bromo, iodo, chloro and fluoro, preferably chloro and fluoro, and organosilyl includes  $-\operatorname{SiR}^4(R^5)(R^6)$  and the like, wherein  $R^4$ ,  $R^5$ , and  $R^6$  are independently alkyl, phenyl, alkyl ether, or phenyl ether, preferably at least two of  $R^4$ ,  $R^5$ , and  $R^6$  being a hydrolyzable group, more preferably two of which are alkyl ether, wherein alkyl is preferably methyl or ethyl. A plurality of silyl groups can be condensed; for example, an organopolysiloxane such as  $-\operatorname{Si}(R^4)_2$ -O-Si $(R^5)_2R^6$ , wherein  $R^4$ ,  $R^5$ , and  $R^6$  are independently alkyl.

Preferred monomers are methyl methacrylate, ethyl methacrylate, propyl methacrylate (all isomers), butyl methacrylate (all isomers), 2-ethylhexyl methacrylate. isobornyl methacrylate, methacrylic acid, benzyl methacrylate, phenyl methacrylate, methacrylonitrile, alpha methyl styrene, methyl acrylate, ethyl acrylate, propyl acrylate (all isomers), butyl acrylate (all isomers), 2-ethylhexyl acrylate, isobornyl acrylate, acrylic acid, benzyl acrylate, phenyl acrylate, acrylonitrile, styrene, functional methacrylate, acrylates and styrene selected from glycidyl methacrylate, 2hydroxyethyl methacrylate, hydroxypropyl methacrylate (all isomers), hydroxybutyl methacrylate (all isomers), diethylaminoethyl methacrylate, triethyleneglycol methacrylate, itaconic anhydride, itaconic acid, glycidyl acrylate, 2-hydroxyethyl acrylate, hydroxypropyl acrylate (all isomers), hydroxybutyl acrylate (all isomers), diethylaminoethyl acrylate, triethyleneglycol acrylate, methacrylamide, N-tert-butyl methacrylamide, N-n-butyl methacrylamide, N-methyl-ol methacrylamide, N-ethyl-ol methacrylamide, N-tert-butyl acrylamide, N-n-butyl acrylamide, N-methyl-ol acrylamide, N-ethyl-ol acrylamide, vinyl benzoic acid (all isomers), diethylamino styrene (all isomers), alphamethylvinyl benzoic acid (all isomers), diethylamino alphamethylstyrene (all isomers), para-methylstyrene, p-vinyl benzene sulfonic acid, trimethoxysilylpropyl methacrylate, triethoxysilylpropyl methacrylate,

tribut xysilylpropyl methacrylate, dimethoxymethylsilylpropyl methacrylate, diethoxymethyl-silylpropylmethacrylate, dibutoxymethylsilylpropyl methacrylate, diisopropoxymethylsilylpropyl methacrylate, dimethoxysilylpropyl methacrylate, diethoxysilylpropyl methacrylate, dibutoxysilylpropyl methacrylate,

diisopropoxysilylpropyl methacrylate, trimethoxysilylpropyl acrylate,
triethoxysilylpropyl acrylate, tributoxysilylpropyl acrylate, dimethoxymethylsilylpropyl
acrylate, diethoxymethylsilylpropyl acrylate, dibutoxymethylsilylpropyl acrylate,
diisopropoxymethylsilylpropyl acrylate, dimethoxysilylpropyl acrylate,
diethoxysilylpropyl acrylate, dibutoxysilylpropyl acrylate, diisopropoxysilylpropyl
acrylate, vinyl acetate, and vinyl butyrate, vinyl chloride, vinyl fluoride, vinyl bromide.

In a preferred process, (ii) is selected where Q, -XYC-CH<sub>2</sub>- and Z are independently selected from one or more of the following:

Q = H, methyl, ethyl, butyl (all isomers), cyclohexyl, methoxy, ethoxy, propoxy, butoxy (all isomers), phenoxy, acetate, propionate, butyrate (all isomers),
 benzoate, carboxylate, chlorine, bromine, fluorine, iodine, nitrile, amide, N-methylamide, N-ethylamide, N-propylamide, N,N-dimethylamide, N,N-diethylamide, N,N-diethylamide, N,N-dibutylamide, N-methyl-N-ethylamide, carboxylate ester of methyl, ethyl, propyl, butyl (all isomers), benzyl, phenyl, 2-hydroxyethyl, 3-hydroxypropyl, 2-hydroxypropyl, 4-hydroxy-butyl (all isomers), 3-hydroxybutyl (all isomers), 2-hydroxybutyl, 3-trimethoxysilylpropyl, 3-triethoxysilylpropyl, 3-tributoxy-silylpropyl, 3-tri(isopropoxy)silylpropyl, 2-aminoethyl, 3-amino-propyl, 2-aminopropyl, 4-aminobutyl (all isomers), 3-aminobutyl (all isomers), 2-aminobutyl (all isomers), 2-epoxypropyl, or 3-epoxypropyl;

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-XYC-CH<sub>2</sub>- = derived from one or more of the following monomers: methyl methacrylate, ethyl methacrylate, propyl methacrylate (all isomers), butyl methacrylate (all isomers), 2-ethylhexyl methacrylate, isobornyl methacrylate, methacrylate, diethoxymethyl methacrylate, methacrylate, methacrylate, methacrylate, styrene, alpha methyl styrene, glycidyl methacrylate, 2-hydroxyethyl methacrylate, hydroxypropyl methacrylate (all isomers), hydroxybutyl methacrylate (all isomers), diethylaminoethyl methacrylate, triethyleneglycol methacrylate, N-tert-butyl methacrylamide, N-n-butyl methacrylamide, N-methyl-ol methacrylamide, N-methyl-ol methacrylamide, N-methyl-ol methacrylamide, trimethoxysilylpropyl methacrylate, tributoxysilylpropyl methacrylate, diethoxymethylsilylpropyl methacrylate, dibutoxymethylsilylpropyl methacrylate, dibutoxymethylsilylpropyl methacrylate, dimethoxysilylpropyl methacrylate, dimethoxysilylpropyl methacrylate, dimethoxysilylpropyl methacrylate, dimethoxysilylpropyl methacrylate, dimethoxysilylpropyl methacrylate, dimethoxysilylpropyl methacrylate, diethoxysilylpropyl methacrylate, dimethoxysilylpropyl methacrylate, diethoxysilylpropyl methacrylate,

dibutoxysilylpropyl methacrylate, diisopropoxysilylpropyl methacrylate, methyl acrylate, ethyl acrylate, propyl acrylate (all isomers), butyl acrylate (all isomers), 2-ethylhexyl acrylate, isobornyl acrylate, acrylic acid, benzyl acrylate, phenyl acrylate, acrylonitrile, styrene, glycidyl acrylate, 2-hydroxyethyl acrylate, hydroxypropyl acrylate (all isomers), hydroxybutyl acrylate (all isomers), diethylaminoethyl acrylate, triethyleneglycol acrylate, N-tert-butyl acrylamide, N-n-butyl acrylamide, N-methyl-ol acrylamide, N-ethyl-ol acrylamide, vinyl benzoic acid (all isomers), diethylamino styrene (all isomers), p-vinyl benzene sulfonic acid, para-methylstyrene, trimethoxysilylpropyl acrylate, dimethoxymethylsilylpropyl acrylate, dibutoxymethylsilylpropyl acrylate, dibutoxymethylsilylpropyl acrylate, dibutoxymethylsilylpropyl acrylate, dimethoxysilylpropyl acrylate, diethoxysilylpropyl acrylate, dibutoxysilylpropyl acrylate, diethoxysilylpropyl acrylate, dibutoxysilylpropyl acrylate, vinyl acetate, or vinyl butyrate;

15  $Z = H, SR^1, S(O)R, S(O)_2R, R^2, or R^3;$ 

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- R = methyl, ethyl, propyl, n-butyl, tert-butyl, isobutyl, phenyl, benzyl, 2-phenylpropyl, trimethoxysilylpropyl, tributoxysilyl-propyl, hydroxymethyl, 2-hydroxypropyl, 2-epoxypropyl, 2-aminoethyl, 2-aminopropyl, methoxymethyl, 2-methoxyethyl, 2-ethoxyethyl, 2-methoxy-propyl, or heptafluoropropyl;
- R<sup>1</sup> = hydrogen, methyl, ethyl, propyl, n-butyl, tert-butyl, isobutyl, phenyl, benzyl, 2-phenylpropyl, trimethoxysilyl-propyl, tributoxysilylpropyl, hydroxymethyl, 2-hydroxypropyl, 2-epoxypropyl, 2-aminoethyl, 2-aminopropyl, methoxymethyl, 2-methoxyethyl, 2-ethoxyethyl, 2-methoxypropyl, or heptafluoropropyl,
- R<sup>2</sup> = 2,4-dimethylpentanenitrile, 2-methylbutanenitrile, 2-methylpropanenitrile, cyclohexanecarbonitrile, 4-cyanopentanoic acid, N,N'-dimethyleneisobutyramidine hydrochloride, 2-amidinopropane, 2-amidinopropane hydrochloride, 2-methyl-N-[1,1-bis(hydroxymethyl) propionamide, 2-methyl-N-[1,1-bis(hydroxymethyl) 2-hydroxyethyl] propionamide, 2-methyl-N-(2-hydroxyethyl) propionamide, isobutyamide hydrate, hydroxyl, or sulfate;
- R<sup>3</sup> = 1,1-bis(carboethoxy)ethyl, 1,1-bis(carbomethoxy)ethyl, bis(carboethoxy)methyl, bis(carbomethoxy)methyl, 1-carboethoxy-1-phenyl ethyl, 1-carbomethoxy-1-phenyl ethyl, chlorine, bromine, fluorine, iodine, 1-methyl-1[carbo(2-epoxypropoxy)]ethyl, 1-methyl-1-[carbo(2-hydroxyethoxy)]ethyl, 1methyl-1-[carbo(4-hydroxy-butoxy)]ethyl, 1-methyl-1-[carbo(2aminoethoxy)]ethyl, 1-methyl-1-[carbo(3-trimethoxysilylpropoxy)]ethyl, 1-

methyl-1-[carbo(3-triethoxysilylpropoxy)]ethyl, 1-methyl-1-[carbo(3-dimethoxyethoxysilylpropoxy)]ethyl, 1-methyl-1-[carbo(2-methoxyethoxy)]ethyl, (N,N-di-methylamino)(cyano)methyl, N,N-dimethylamino-(benzo)methyl, thiomethyl(cyano)methyl, or thioethyl(cyano)methyl.

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In a preferred process, (iii) is derived from one or more of the following initiators: 2,2'-azobis(isobutyronitrile), 2,2'-azobis(2-butanenitrile), 4,4'-azobis(4-cyanpentanoic acid), 1,1'-azobis(cyclohexane-carbonitrile), 2-(t-butylazo)-2-cyanopropane, 2,2'-azobis[2-methyl-N-(1,1)-bis(hydoxymethyl)-2-hydroxyethyl] propionamide, 2,2'-azobis[2-methyl-N-hydroxyethyl)]-propionamide, 2,2'-azobis(N,N'-dimethyleneisobutyramidine) dichloride, 2,2'-azobis(2-amidinopropane) dichloride, 2,2'-azobis(N,N'-dimethyleneisobutyramide), 2,2'-azobis(2-methyl-N-[1,1-bis(hydroxymethyl)-2-hydroxyethyl] propionamide), 2,2'-azobis(2-methyl-N-[1,1-bis(hydroxymethyl) ethyl] propionamide), 2,2'-azobis[2-methyl-N-(2-hydroxyethyl) propionamide], 2,2'-azobis(isobutyramide) dihydrate, t-butyl-peroxyacetate, t-butyl-peroxybenzoate, t-butyl-peroxyoctoate, t-butyl-peroxyneodecanoate, t-butyl-peroxyisobutyrate, t-amyl-peroxypivalate, t-butyl-peroxypivalate, cumene hydroperoxide, dicumyl peroxide, benzoyl peroxide, potassium persulfate, ammonium persulfate.

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### **DETAILS OF THE INVENTION**

Compound (2) can be prepared by several methods. Two non-restrictive examples of convenient methods of preparing compounds of structure (2) are by free radical polymerization in the presence of cobalt transfer agents or organic transfer agents that are capable of chain transfer by addition fragmentation. Cobalt chain transfer agents represent a broad class of complexes some of which are described in U.S. Patent No. 4,694,054, U.S. Patent No. 4,680,352, U.S. Patent No. 4,722,984, and WO 87/03605.

Organic chain transfer agents include allylic sulfides, allylic bromides, vinyl terminated methacrylic oligomers (dimers, trimers, etc or distributions), amethylstyrene dimer and related compounds. Other methods of preparation are also possible.

Said compounds of structure (2) can also be a block copolymer of general structure (1) and the process can then be used to form tri- or multiblock copolymers.

Substituent Q of (1) and (2) is chosen to convey appropriate reactivity to the double bond in radical polymerization of the desired monomer or monomers under polymerization conditions. It should preferably be aryl, CO<sub>2</sub>H, CO<sub>2</sub>R, CN, or

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CONR<sub>2</sub> in the case of activated monomers (e.g. styrene, acrylics) or H, R, OR, O<sub>2</sub>CR, or halogen in the case of non activated monomers (e.g. vinyl acetate, vinyl chloride).

The substituents Q and Z can also be chosen so as to introduce any required end-group functionality into the polymer (1). These end groups can be the same or different and are chosen such that the final polymer is a telechelic polymer. Suitable end groups are those compatible with free radical polymerization and include epoxy, hydroxy, carboxylic acid, carboxylic ester.

Monomers, CH<sub>2</sub>=CUV, as used herein include acrylic, methacrylic and styrenic monomers, mixtures thereof, and mixtures of these monomers with other monomers. As one skilled in the art would recognize, the choice of comonomers is determined by the steric and electronic properties of the monomer. The factors which determine copolymerizability of various monomers is well documented in the art.

When U and/or X= hydrogen, the use of reaction temperatures above 100°C has been found to favor block copolymer formation.

The process is compatible with forming (2) and the polymer (1) sequentially in a "one-pot" procedure. In this case, it is important to destroy residual transfer agent remaining from the synthesis of (2). For compounds (2) prepared in the presence of cobalt catalytic chain transfer agents, the use of potassium persulfate, a peroxide or similar reagent deactivates any cobalt chain transfer agent remaining from the compound (2) preparation.

The length of the  $-(CXY-CH_2)_n$ - is determined by the molecular weight of (2). Unreacted (2) will constitute a contaminant. The conversion level of (2) will define the purity of (1). The higher the conversion of (2) the higher the purity of (1).

To obtain narrow dispersity in the final polymer, reaction conditions are selected such that polymerization in the absence of (2) gives molecular weights substantially higher (at least 5-fold) than in the presence of (2). In the same manner, to obtain high block purity in the block copolymer synthesis, reaction conditions are selected such that polymerization in the absence of compound (2) gives molecular weights substantially higher (at least 5-fold) than in the presence of compound (2).

With this as a guide, the control of the molar amount of free radicals (iii) at any given conversion of (i) will determine how much polymer containing (i) and not (2) is formed. One can minimize the number of free radicals, via initiators, in the reaction media during the polymerization so that bimolecular termination reactions, or radical-radical reactions, are minimized. These reactions produce polymers that are undesirable when one is interested in narrow dispersity polymers or substantually pure block copolymers. Increasing the moles of (ii) in the presence of (iii) will enhance the transfer reaction which is necessary to produce block, telechelic polymers and homopolymers of narrow molecular weight dispersity. In like fashion, reducing the

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molar amount of monomer (i) in the reactor at any given time at any given conversion of (iii), will provide additional control thus assuring uptake of (ii) as a transfer agent. Slow, incremental uptake of (i) under conditions which optimize chain transfer contribute to narrow polydispersity. The present invention allows preparation of homo- and copolymers with substantially narrower polydispersity than can be prepared by conventional free radical polymerization. Polymers with polydispersity <1.5 are not available using conventional free radical polymerization technology. The discovered interrelationship of (a) to (d) allows preparation of polymers with polydispersities below 1.7 and even less than 1.5.

The process can be successfully conducted by bulk, solution, suspension or emulsion polymerization. However, bearing in mind the above-mentioned condition, a preferred process for forming high molecular weight block copolymers is by emulsion or dispersion polymerization techniques. Emulsion polymerization typically offers very high molecular weights for polymerization carried out in the absence of compound (2). As a consequence, it is possible to prepare high molecular weight, high purity block copolymers with narrow polydispersity. Other advantages of emulsion polymerization over solution or bulk polymerization are faster polymerization times, high conversions, avoidance of organic solvents, and low chain transfer to water.

The present process offers significant advantages over other processes for preparing block or narrow polydispersity polymers based on conventional living polymerization techniques (e.g. cationic, anionic, coordination or group transfer polymerization). Advantages include compatibility with monomers with active hydrogens (for example, methacrylic acid, 2-hydroxyethyl methacrylate, etc.), or reactive functionality (for example, glycidyl methacrylate), the use of protic media (for example, isopropanol, water), and use of inexpensive commercial grade monomers.

The success of block copolymerization via the emulsion process depends on the compatibility of the monomer(s) and compound (2). The polymerization of hydrophobic monomers (e.g. butyl methacrylate) and moderately hydrophobic compounds (2) (e.g. methyl methacrylate), or moderately hydrophobic monomers with hydrophilic compounds (2) (e.g. methacrylic acid) can be successfully carried out.

Emulsion polymerization of hydrophobic monomers (for example, styrene, butyl methacrylate, etc.) in the presence of water-soluble compounds (2) may lead to product contaminated with homopolymer of the hydrophobic monomers. In these circumstances, addition of appropriate cosolvents (for example, 2-butoxyethanol) to the emulsion polymerization medium gives improved yields of block copolymer.

Changing the hydrophobic-hydrophilic balance in the compound (2) also gives improved yield of block copolymer. For example, block copolymers based on

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hydrophobic monomers (for example, styrene, butyl methacrylate, etc.) and 60:40 methyl methacrylate-co-methacrylic acid compounds (2) are readily synthesized in high yield and purity by emulsion polymerization.

The low cost of the process means that purification of the block copolymer can be economically viable when this is necessary or desirable. Thus, lower yields of block copolymers can be tolerated than with other synthetic methods.

The process of the invention is further illustrated by the following Examples in which these abbreviations are used:

	EHMA	2-ethylhexyl methacylate
10	n-BA	n-butyl acrylate
	BAc	butyl acetate
	EAc	ethyl acetate
	GMA	glycidyl methacrylate
•	n-BMA	n-butyl methacrylate
15	t-BMA	tert-butyl methacylate
	i-BMA	iso-butyl methacrylate
	BzMA	benzyl methacrylate
	EMA	ethyl methacrylate
	HEMA	hydroxyethyl methacylate
20	iPrOH	2-propanol
	MAA	methacrylic acid
	MEK	methylethyl ketone
	MMA	methyl methacrylate
	PhMA	phenyl methacrylate
25	S	styrene
	pMS	p-methylstyrene
	CHMA	cyclohexyl methacrylate
	VAZO 52	2,2'-azobis(2,4dimethylpentanenitrile)
	VAZO 88	1,1'-azobis(cyclohexanecarbonitrile)
30	WAKO VA044	2,2'-azobis(N,N'-dimethyleneisobutyramidine)
	• •	dihydrochloride
	iprCo(III)DMG	[bis[m-[(2,3-butanedione dioximato)(2-)-O:O']]
		tetrafluorodiborato (2-) -N,N',N",N"] (1-
		methylethyl) (aqua) cobalt
35	MeCo(III)DEG	[bis[m-[(2,3-hexanedione dioximato)(2-)-O:O']]
		tetrafluorodiborato (2-) -N,N',N",N"] (methyl)
		(aqua) cobalt

## **EXAMPLES 1-9**

Methacrylic Acid Block Copolymers by Emulsion Polymerization

This is the basic recipe for surfactantless emulsion polymerization and illustrates the use of block copolymers as latex stabilizers.

## Preparation of Methacrylic Acid-block-Methyl Methacrylate

	Water	75.0 g
•	NaHCO <sub>3</sub>	0.151 g
10	MAA <sub>12</sub> -block-BMA <sub>4</sub>	0.376 g
	MAA Compound 2 ( ${}^{1}$ H NMR: $\overline{M}_{n}$ 950)	10.07 g
	MMA	1.00 g
	4,4' azobis(4-cyanopentanoic acid)	0.140 g
	MMA	10.0 g

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The water was degassed in a multi-neck, 250 mL reactor under nitrogen for 20 min. The solution was heated to 85°C. The sodium bicarbonate, block copolymer and MAA Compound 2 were added, and the solution was degassed for a further 10 min. The initiator and a portion of the MMA (1.00 g) were added as single shots and the remaining MMA added as a feed over 90 min. The reaction mixture was held at 85°C for a further 90 min.

GPC:  $\overline{M}_n$  3010,  $\overline{M}_w$  4270; Dispersity 1.42.

The yield of block copolymer vs. 'homopolymer' formed by emulsion polymerization depends on the relative hydrophobicity of the compound (2) and monomer. The examples given in the table show that, for systems where this is a problem (e.g. MAA-block-BMA), the yield of block copolymer are improved by use of an appropriate cosolvent.

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Table 1. Methacrylic Acid Block Copolymers by Emulsion Polymerizati na

	Example	Monomer	Cosolvent	% block <sup>b</sup>
	1	MMA	none	100
	2	EMA	none	70
5	<b>3</b> ·	пВМА	none	45
	4	nBA	none	. 20
	5	MMA/BMA 1:2	none	50
·	6	MMA/BMA 2:1	none	60
	. 7	MMA//BMA triblock (1:2)	none	60
0	8	nBMA	10 % 2-ethoxyethanol <sup>C</sup>	60
	9	пВМА	10 % 2-butoxyethanol <sup>C</sup>	100

<sup>\*</sup>Methacrylic acid macomonomer (<sup>1</sup>H NMR: M<sub>n</sub> 950).

15

#### EXAMPLES 10 - 14

## Methacrylate Ester Based Block Copolymers

## Preparation of Phenyl Methacrylate-block-Butyl Methacrylate

## 20 A. Preparation of PhMA compound (2)

		Water	75 g
		SDS (1 wt% aq. solution)	3 g
		4,4'-azobis(4-cyanopentanoic acid)	0.140 g
	Monomer Shot:	PhMA	3.5 g
25		MeCo(III)DEG	15.0 mg
	Monomer Feed:	PhMA	31.7 g
		MeCo(III)DEG	11.8 mg

The water, initiator and SDS were combined and degassed under nitrogen in a multi-necked 250 mL reactor. The mixture was heated to 80°C and the monomer shot added immediately. The monomer feed was added over 90 min. The temperature was increased to 85°C and held for a further 90 min.

GPC:  $\overline{M}_n$  1100  $\overline{M}_w$  2400; Dispersity 2.18.

bEstimated by GPC. Remainder is 'B block' homopolymer

Feed time increased to 270 min

## B. Preparation f PhMA-block-BMA

PhMA compound (2) latex (33% solids)\*

Initiator Feed: K<sub>2</sub>S<sub>2</sub>O<sub>8</sub> (0.2 wt% aq. solution)

Monomer Feed: nBMA

60 g

a. 0-90 min 0.25 mL/minb. 90-180 min 0.50 mL/min

## \*from Part A

5

The PhMA compound (2) latex (M<sub>n</sub> 1100, M<sub>w</sub> 2400; Dispersity 2.18) was heated to 80°C in a multi-neck 250 mL reactor under nitrogen for 50 min. The initiator and monomer feeds were added concurrently over 180 min. Portions of SDS (1 g of a 10 wt% aqueous solution) were added hourly during the monomer addition. After monomer addition was complete the reaction temperature was increased to 85°C and held for a further 90 min.

15 GPC:  $\bar{M}_n$  14500,  $\bar{M}_w$  33400; Dispersity 2.30

Table 2. Methacrylic Ester Block Copolymers prepared by Emulsion Polymerization

	Example	Compound	2 Monomer	Block Composition <sup>a</sup>	Mn <sup>b</sup>	Dispersity
	10	РЬМА	nBMA	(PhMA)7//(nBMA)94	14500	2.30
20	11	MAA	MMA	(MAA)11//(MMA)14 <sup>c</sup>	3010	1.42
	12	MAA	nBMAd	(MAA)11//(nBMA)22	4030	2.31
	13	MMA	nBMA	(MMA)19//(nBMA)46	6700	1.19
	14	tBMA	nBMA	(tBMA)17//(nBMA)24	5780	1.33

aestimated from GPC

30

35

#### **EXAMPLES 15 - 19**

## Narrow Polydispersity Polymers

These examples illustrate the preparation of a polymer of relatively narrow polydispersity by emulsion polymerization. Polydispersities (> 1.5) are narrower than expected by normal polymerization with chain transfer (2.0). The polydispersity typically narrows with increased monomer addition as shown in Table 3. To achieve narrow polydispersities it is necessary to control the rate of monomer addition to maintain relatively high % solids (typically in range 70-95%) and a constant monomer concentration.

<sup>25</sup> bGPC (polystyrene equivalents)

cestimated from <sup>1</sup>H NMR

d<sub>10</sub> % 2-butoxyethanol (see Table 1)

## Preparation of Methyl Methacrylate-block-Butyl Methacrylate

## A. Preparation f MMA comp und (2)

	Water	150 g
	SDS (3 wt% aq. solution)	6 g
	4,4'-azobis(4-cyanopentanoic acid)	0.280 g
Monomer Shot:	MMA	7 g
	MeCo(III)DEG	5 mg
Monomer Feed:	MMA	63.4 g
	MeCo(III)DEG	2.3 mg

10

5

The water, initiator and SDS were combined and degassed under nitrogen in a multi-necked 250 mL reactor. The mixture was heated to 80°C and the monomer shot added immediately. The monomer feed was added over 90 min. The temperature was increased to 85°C and held for a further 90 min.

15 GPC:

 $\overline{M}_n$  3500  $\overline{M}_w$  5600; Dispersity 1.61.

<sup>1</sup>H NMR:

 $\overline{M}_n$  3100

#### B. Preparation of MMA-block-BMA

MMA compound (2) latex (33 % solids)*	30 g
K2S2O8 (0.4wt % aq. solution)	28.4 mL/90 min

20 Monomer Feed:

nBMA

20 g/90 min

from Part A

Initiator Feed:

The MMA compound (2) was heated to 80°C in a multi-neck 250 mL reactor under nitrogen for 30 min. The initiator and monomer feeds were added concurrently over 90 min. The monomer and initiator additions were then repeated until a total of 100 g BMA was added. Portions of SDS (1 g of a 3 wt% aqueous solution) were added hourly during the monomer addition. After monomer addition was complete the reaction temperature was increased to 85°C and held for 90 min.

30 GPC:  $\bar{M}_n$  23800,  $\bar{M}_w$  33100; Dispersity 1.39

Table 3: Variation in M lecular Weight and Polydispersity with Monomer Addition (compound (2) = PMMA)

Example	Monomer	monomer (g)	Mna	M <sub>w</sub> /M <sub>n</sub>	M <sub>n</sub> (calc) <sup>b</sup>
- 15	BMA	0	3500 (3100°)	1.6	
		20	8300 (9700)	1.5	9300
		40	13200 (15400)	1.4	15500
		60	17700 (20100)	1.3	21700
		80	20000 (23600)	1.3	27900
	•	100	23800 (28100)	1.4	34500
•					
16	MMA	0	1850 (2100)	1.5	
		15.8	3800 (4320)	1.4	4800
	-	24.2	4770 (5300)	1.4	6300
		31.6	5740 (6500)	- 1.5	7700
	•	63.1	9790 (11200)	2.7	13500
17	MMA	0	3260 (3700)	1.5	•
		11.8	9900 (11300)	1.4	10900
		19.0	13700 (15700)	1.5	15600
		35.2	22100 (25300)	1.6	26200
• •		52.9	31300 (35900)	1.8	37700
		65.8	37600 (43200)	2.1	46100
18	ВМА	0	2000 <sup>c</sup>	1.6	
		25.7	6700 (7900)	1.2	8000
	•	33.6	8400 (9900)	1.2	9900
		50.3	12300 (14500)	1.2	13800
		59.8	14900 (17600)	1.2	16100
		67.1	16800 (19800)	1.2	17800
		88.2	18400 (21700)	1.4	22800
20	ЕНМА	0	2050 <sup>c</sup> .	1.7	
		20	4900	1.5	4800
		40	7100	1.4	7600
		60	10500	1.3	10400
		78	11800	1.3	12900

<sup>&</sup>lt;sup>a</sup>GPC molecular weight in polystyrene equivalents (values obtained by applying universal calibrating in parentheses). Numbers rounded to nearest hundred.

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#### PCT/US95/14428

bMn=([monomer]/[compound (2)] x monomer Mn) + compound (2) Mn. Discrepancies between calculated and found Mn may reflect precision of compound (2) concentration.

cMn of PMMA.

**5** ·

35

### EXAMPLES 20 - 21

## **Triblock Copolymers**

These examples illustrate the synthesis of an ABA triblock copolymer. The procedure is compatible with at 'one-pot' operation.

## 10 Preparation of MMA-block-BMA-block-MMA.

### A. Preparation of MMA-block-BMA

			MMA compound (2) latex*	30 g
			SDS (3 wt% aq. solution)	1 g
	Initiator Feed:	(0.316 mL/min)	$K_2S_2O_8$ (0.36wt % aq. solution)	40.8 g
15	Monomer Feed:	(0.218 mL/min)	nBMA	25.2 g

(\*ca. 32 % solids,  $\overline{M}_n$  2040, Dispersity 1.51, prepared with iPrCo(III)DMG procedure similar to Example 15, part A)

The MMA compound (2) latex and SDS was placed in a multi-neck 250 mL reactor, degassed under vacuum, then heated to 80°C under nitrogen. The initiator and monomer feeds were added concurrently over 130 min. After monomer addition was complete the reaction was held at 80°C for 90 min. A shot of surfactant was added (1g of 3 wt% aq. solution of SDS) at 60 min intervals.

25 GPC:  $\bar{M}_n$  6650,  $\bar{M}_w$  8400; Dispersity 1.26.

#### B. Preparation of MMA-block-BMA-block-MMA

			MMA-block-BMA compound (2) latex*	30 g
			SDS (3 wt% aq. solution)	1 g
	Initiator Feed:	(0.316 mL/min)	K <sub>2</sub> S <sub>2</sub> O <sub>8</sub> (0.36wt % aq. solution)	21.5 g
30	Monomer Feed:	(0.119 mL/min)	MMA ·	7.5 g
	(*ca. 32 % solids	from part A)		

The MMA compound (2) latex and SDS was placed in a multi-neck 250 mL reactor, degassed under vacuum, then heated to 80°C under nitrogen. The initiator and monomer feeds were added concurrently over 68 min. After monomer addition was complete the reaction was held at 80°C for 90 min. A shot of surfactant was

added (1 g f 3wt % aq. solution of SDS) at 60 min intervals. The conversion based on % solids was 98%.

GPC: M<sub>n</sub> 12660, M<sub>w</sub> 16590; Dispersity 1.35

Table 4. Emulsion Triblock Copolymers

		Macromonomer			Block		
Example	Step:Monomer	Composition	M <sub>n</sub> a	Dispersity	M <sub>n</sub> a	Dispersity	
20	1:BMA	MMA	2040	1.51	6650	1.26	
	2: MMA	MMA-block-BMA	6650	1.26	12660	1.35	
- 21	1:BMA	MMA	3500	1.62	23800	1.39	
	2:MMA	MMA-block-BMA	23800	1.39	26200	1.52	

<sup>a</sup>GPC (polystryrene equivalents)

5

## **EXAMPLE 22**

## 'One Pot' Synthesis of (MMA-co-MAA)-block-BMA

These examples illustrate a 'one-pot' synthesis of compound (2) and block copolymer by emulsion polymerization.

	A. Preparatio	n of MMA-co-MAA compound (2)	
		Water	120.00 g
		MAA-block-BMA	2.87 g
15	Solution 1:	iprCo(III)DMG	7.5 mg
		WAKO VA-044	0.33 g
		MMA	4.0 g
20	Feed 1:	MMA	42.14 g
		iprCo(III)DMG	15.0 mg
	Feed 2:	MAA	15.60 g
		a. 0-20 min	0.137 mL/min
25		b. 20-40 min	0.276 mL/min
	•	c. 40-60 min	0.356 mL/min

The MAA-block-BMA(stabilizer/surfactant)/water mixture was heated to 58°C in a multi-necked 500 mL reactor under nitrogen for 30 min. Solution 1 was

added and the monomer feeds were added concurrently over 60 min. On completion of the monomer addition the reaction temperature was increased slowly to 80°C.

GPC:  $\overline{M}_n$  880,  $\overline{M}_w$  1400; Dispersity 1.59

## B. Preparation of (MMA-co-MAA)-block- BMA

5	•	MMA/MAA Compound 2 latex	from part A
		MAA-block-BMA	0.288 g
		water	9.3 g
		K2S2O8	0.224 g
10	Initiator Feed:	K2S2O8 (1.25% aq. solution)	28.4 mL
	Monomer Feed:	nBMA	12 ø

The MMA/MAA compound (2) latex from Part A was held at 80°C for 40 min under nitrogen. MAA-b-BMA (surfactant) was added and the reactor degassed for a further 20 min. The initiator was then added as a single shot. The initiator and monomer feeds were added concurrently over 90 min. On completion of the feeds the reaction temperature was held at 80°C for 30 min and then increased to 85°C for 90 min.

20 GPC:  $\bar{M}_n$  3090,  $\bar{M}_w$  5370; Dispersity 1.74

## **EXAMPLES 23 - 36**

Synthesis of block copolymers in solution

The following examples illustrate the synthesis of block copolymers from methacrylate compounds (2).

### Preparation of (MMA-co-MAA) -block-BMA

	ricparatio	II OI (INIMA-CO-INIAA) -DIOCK-BINIA	
	,	MMA-co-MAA Compound 2 (Mn 1031; Dispersity 1.53)	10.0 g
		xylene	30.0 g
30		t-butyl peroxybenzoate	0.1 g
	Feed One:	n-butyl methacrylate	10.0 g
	Feed Two:	t-butyl peroxybenzoate	0.2 g
35		xylene	10.0 g

The compound (2) and initiator were dissolved in the solvent and heated to reflux under nitrogen. The monomer and initiator feeds were added concurrently over 180 min. After completion of the feeds, the mixture was heated under reflux for a further 180 min.

5 Conversion:

> 95%.

GPC:

 $\overline{M}_n$  1890,  $\overline{M}_w$  2640; Dispersity 1.40

Table 5. Solution Block Copolymers from Methacylate Monomers

				Compound	i (2)		Blo	ck
Ex.	Mon.	Rª	Solvent	Composition	$\bar{M}_n$	Disp.	$\bar{M}_n$	Disp.
23	nBMA	46	iPrOH	MAA <sub>9</sub>	880°C	_	2400 <sup>d</sup>	1.50
24	nBMA	46	iPrOH	MAA <sub>9</sub>	880°C	_	3050 <b>d</b>	1.53
25	nBMA	46	EtOH	MAA9-co-BMA5	1620 <b>d</b>	2.2	2320 <sup>d</sup>	2.47
26	BzMA	47	iPrOH	MMA <sub>20</sub> -co-MAA <sub>5</sub> e	2460 <sup>b</sup>	1.23	6020 <sup>b</sup>	1.63
27	BzMA	: 47	iPrOH	MMA10-co-MAA5	1600 <sup>b</sup>	1.71	5520b	1.80
28	BzMA	47	iPrOH	BMA <sub>10</sub> -co-MAA <sub>5</sub>	2040 <b>b</b>	2.56	6070 <sup>b</sup>	1.69
29	BzMA	47	iPrOH	EHMA <sub>10</sub> -co-MAA <sub>5</sub>	1900 <sup>b</sup>	1.62	4020b	1.61
30	MMA-BMA	46	iPrOH	MAA9-co-BMA5	1620 <b>d</b>	2.2	2950d	1.81
31	MMA-BMA <sup>f</sup>	46	iPrOH	MAA9-block-BMA5	2400d	1.50	2790d	1.85
32	MMA-BMA <sup>f</sup>	46	iPrOH	MAA	860 <sup>C</sup>		3060b	1.64
33	MMA	46	iPrOH	HEMA <sub>11</sub>	1550d		3620d	1.83
- 34	MMA	48	xylene	MMA-co-MAA	1031b	1.53	2640 <sup>b</sup>	1.40
35	nBMA	23	xylene	MMA	890b	1.97	1340b	1.78
36	MMA		BAc	MMA-co-MAA	1031b	1.53	<sub>2068</sub> b	1.38

<sup>\*</sup>R = "recipe", similar to that of the Example referred to by number. All reactions were carried out at reflux. Conversions were typically >85%

10

### **EXAMPLES 37-45**

Synthesis of block copolymers in solution

For monosubstituted monomers higher block purity is found when higher reaction temperatures are used. At low temperatures graft copolymer formation may

bGPC (polystyrene equivalents).

Cfrom NMR.

dGPC (PMMA equivalents).

<sup>&</sup>lt;sup>e</sup>Compound (2) prepared by emulsion polymerization.

f1:1 mole ratio comonomers.

dominate. Xylene and butyl acetate or other solvents with similar boiling point are preferred for block synthesese with monosubstituted monomers.

### Preparation f (MMA-co-MAA)-block-BA

	Compound 2 (M <sub>n</sub> 1031; Dispersity 1.53)	8.88 g
5	Xylene	37.8 g
	t-butyl peroxybenzoate	0.1 g
	n-butyl acrylate	1.6 g
Feed:	t-butyl peroxybenzoate	0.16 g
10	n-butyl acrylate	9.5 g

The compound (2) and initiator were dissolved in the solvent and heated to reflux under nitrogen. The monomer and initiator feed was added over 180 min.

After completion of the feeds, the mixture was heated under reflux for a further 180 min.

Conversion:

> 95%.

GPC:

15

 $\overline{M}_n$  1760,  $\overline{M}_w$  2710; Dispersity 1.54

Table 6. Solution Block Copolymers from Monosubstituted Monomers

				Compound (2)		Block			
Ex.	Mon.	Rª	Solvent	Composition	$\bar{M}_n$	Disp.	$\bar{M}_n$	Disp.	Purityb
37	BA	37	xylene	MMA-co-MAA	1031d	1.53	1760 <sup>d</sup>	1.54	>80%
38	BA	46	iPrOH	MAA9	880c	-	2620 <sup>d</sup>	2.45	>50% <sup>e</sup>
39	BA	37	BAc	MMA-co-MAA	1031d	1.53	2683d	1.76	>80%
40 ,	BA	23	xylene	MMA	840d	1.97	2100 <sup>C</sup>	2.05	>70%
41	S,	46	iPrOH	MAA	880c	•	1890d	2.10	>50% <sup>e</sup>
42	s	37	BAc	MMA	1640 <sup>d</sup>	2.22	2530d	2.37	>70%
43	S	37	BAc	nBMA	1050d	2.04	4650d	2.79	>70%
44	s	37	BAc	tBMA	2620 <sup>d</sup>	2.62	3620d	2.20	>70%
45	S	23	xvlene	MMA	840d	1.97	1780 <sup>C</sup>	2.04	>70%

<sup>20</sup> R = "recipe", similar to that of the Example referred to by number. All reactions were carried out at reflux. Conversions were typically >85%

bfrom comparison of GPC and NMR molecular weights

Cfrom NMR

dGPC (polystyrene equivalents)

<sup>25</sup> ecvidence of reducd block copolymer formation

#### **EXAMPLE 46**

#### Preparation f MAA-block-BMA

methacrylic acid Compound 2	15 g
isopropanol	62.8 g
azobis(isobutyronitrile)	0.32 g
acetone	2 mL

Feed:

n-butyl methacrylate

14.3 g

\*(MAA compound (2) having Mn 1040 and Dispersity 1.80).

10

.5

The compound (2) and solvent were heated to reflux (ca. 80°C) under nitrogen. The initiator (dissolved in acetone) was added as a single shot and the monomer feed added over 180 min. After 90 min the initiator was replenished (0.16 g AIBN/ 1 mL acetone). After completion of feed the mixture was heated under reflux for a further 150 min.

Conversion: > 87 %

GPC:

 $\overline{M}_n$  2580,  $\overline{M}_w$  4900; Dispersity 1.90.

20

15

#### EXAMPLE 47

This example shows the successful 20-fold scale up of Example 46.

## Preparation of MAA-block-BMA

methacrylic acid compound (2)*	200 g
isopropanol	1000 mL
azobis(isobutyronitrile)	4.01 g

Feed: (1 mL/min)

n-butyl methacrylate

326.1 g

\*(M<sub>n</sub> from NMR 1204).

30

25

The compound (2) and solvent were place in a 2 L multinecked flask equipped with mechanical stirrer, degassed, and heated to reflux (ca. 80°C) under nitrogen. The initiator was added as a single shot and the monomer feed commenced. At ca. 90 min intervals the initiator was replenished (2 g shots of AIBN). On completion of feed, the mixture was heated under reflux for a further 150 min.

Conversion: > 95 %

GPC:

 $\overline{M}_n$  3532,  $\overline{M}_w$ 5102; Dispersity 1.45

## **EXAMPLE 48**

This example illustrates the synthesis of hydrophilic-hydrophobic block copolymers based on methacylate ester-methacrylic acid copolymers by solution polymerization.

## A. Preparation of MAA-co-BMA Compound (2)

		Isopropanol	20.06 g
		MAA `	1.21 g
10		nBMA	3.86 g
		2,2'-azobis(2-butanenitrile)	0.25 g
	Shot:	IPrCo(III)DMG (0.35 wt% in isopropanol)	7.5 mL
1.5			0.140 g
15	Feed 1: (0.128 mL/min)	IPrCo(III)DMG (0.33 wt% in isopropanol)	30.7 mL
	Feed 2: (0.224 mL/min)	MAA	11.40 g
		nBMA	37.85 g

20

25

The isopropanol was degassed under nitrogen in a multi-necked 250 mL reactor equipped with a mechanical stirrer. The monomers were then added and the mixture and heated to reflux (80°C). The shot was then added and the feeds added over 240 min by syringe pumps. Further initiator (0.125 g) was added at 120 min and 240 min. On completion of the feeds the temperature was held at 80°C for 90 min. The conversion based on % solids was > 85%.

NMR composition:

MAA5-co-BMA11

GPC(PMMA equivalents):

 $\overline{M}_n$  2040,  $\overline{M}_w$  5210; Dispersity 2.56

g

#### B. Preparation of MAA-co-BMA-block-Benzyl Methacrylate

30	•	MAA-co-nBMA compound (2)			
		solution* (60 wt% in isopropanol)	30.0 g		
	•	isopropanol	9.98 g		
		2,2'-azobis(2-butanenitrile)	0.092		
35	Feed: (0.202 mL/min)	BzMA	18.0 g		
		isopropanol	15.0 g		

<sup>\*</sup>from Part A

The compound (2) solution and isopropanol were placed in a multi-neck 250 mL reactor fitted with a mechanical stirrer, degassed then heated to 80°C under nitrogen. The initiator was added and the monomer feed commenced and added over 180 min by syringe pump. Further aliquots of initiator were added at 90 min (0.049 g) and 180 min (0.087 g). The reaction was held at 80°C for a further 90 min. The conversion based on % solids was >94%.

NMR composition:

MAA5-co-BMA11-block-BzMA20

GPC(PMMA equivalents):

 $\overline{M}_n$  6070,  $\overline{M}_w$  9770; Dispersity 1.61

10

15

20

## **EXAMPLE 49**

This example illustrates the synthesis of a hydrophilic-hydrophobic block copolymer based on HEMA by solution polymerization.

## A. Preparation of Hydroxyethyl Methacrylate Compound (2)

Water	75 g
HEMA .	3.5 g
iPrCo(III)DMG	4 mg
4,4'-azobis(4-cyanopentanoic acid)	0.140 g
НЕМА	31.7 g
iPrCo(III)DMG	4.4 mg
	HEMA iPrCo(III)DMG 4,4'-azobis(4-cyanopentanoic acid) HEMA

The water was degassed under nitrogen in a multi-necked 250 mL reactor equipped with a mechanical stirrer and heated to 80°C. The initial shot was then added and the momomer feed was added over 90 min by syringe pump. On completion of the feed further initiator (0.070 g) was added and the temperature was held at 80°C for 180 min. The conversion based on % solids was > 90%. NMR: M<sub>n</sub> 1550

## 30 B. Preparation of Hydroxyethyl Methacrylate-block-Methyl Methacrylate

HEMA compound (2) solution (30% in water)	30 g
isopropanol	40 g
azobisisobuyronitrile	0.19 g

35 Monomer Feed: HEMA 15.5 g

from Part A

The HEMA compound (2) and isopropanol were placed in a multi-neck 250 mL reactor fitted with a mechanical stirrer, degassed under vacuum, then heated to 80°C under nitrogen. The initiator was added and the monomer feed commenced and added over 120 min by syringe pump. Further aliquots of initiator were added at 90 min (0.09 g) and 180 min (0.07 g). The reaction was held at 80°C for a further 90 min. The conversion based on % solids was > 90%.

GPC: M<sub>n</sub> 3620, M<sub>w</sub> 6650; Dispersity 1.83

10

· 15

30

Feed 2: (0.188 mL/min)

5

## **EXAMPLES 50-52**

This procedure illustrates the preparation of blocks from compounds (2) prepared with addition-fragmentation transfer agents in emulsion polymerization. Use of these reagents allows a wide range of end-group functionality to be introduced into the final product.

The recipe is compatible with a one-pot synthesis of block-copolymer from transfer agent and monomers.

#### Preparation of Methyl Methacrylate-block-Butyl Methacrylate

	<b>-</b> ≺	CH <sub>2</sub> —S—C(CH <sub>3</sub> ) <sub>3</sub>	_	,CH2-S-C(CH3)3
	•	CO <sub>2</sub> E1 (4)		`Ph (5)
	A.	Preparation of M	MA compound (2)	•
20			Water	37.5 g
			SDS (3 % aq. solution)	3 g
	Shot:		MMA	1.56 g
			allyl sulfide 4	0.078 g
25		٠	4,4'-azobis(4-cyanopentanoic acid)	0.071 g
	Feed 1	1: (0.188 mL/min)	MMA	14.04 g

allyl sulfide 4

**MMA** 

0.668 g

5 g

The water, SDS were combined and degassed under vacuum in a multi-necked 250 mL reactor equipped with a mechanical stirrer. The mixture was heated to 80°C under nitrogen and the shot added. Feed 1 was added over 80 min by syringe pump. Feed 2 was then added over 28 min. On completion of the feeds the temperature was held at 80°C for a further 90 min. The conversion based on % solids was 98%.

GPC:  $\overline{M}_n$  5520  $\overline{M}_w$  8770; Dispersity 1.59.

### B. Preparation of MMA-block-BMA.

		MMA compound (2) latex (ca. 32 % solids) <sup>a</sup>	27.1 g
10		SDS (3 % aq. solution)	1.0 g
	Initiator Feed: (0.316 mL/min)	K <sub>2</sub> S <sub>2</sub> O <sub>8</sub> (0.36 wt % aq. solution)	23.7 g
·15	Monomer Feed: (0.218 mL/min)	nBMA	15.5 g
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\*from Part A

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The MMA compound (2) latex and SDS was placed in a multi-neck 250 mL reactor, degassed under vacuum, then heated to 80°C under nitrogen. The initiator and monomer feeds were added concurrently over 70 min. After monomer addition was complete the reaction was held at 80°C for 90 min. The conversion based on % solids was 98%.

GPC:  $\overline{M}_n$  12600,  $\overline{M}_w$  17200; Dispersity 1.36

Table 7. Block Copolymers by Emulsion Polymerization

		Transfer	Con	pound (2)	)	Bl	ock
Example	Monomer	Agenta	Composition	Mnb	Disp.	M <sub>n</sub> b	Disp.
50	nBMA	4	MMA	5520	1.59	12600	1.36
51	MMA	· 4	nBMA	5300	1.57	7300	1.43
52	пВМА	5 <b>c</b>	MMA	5450	1.57	24700	1.46

<sup>&</sup>lt;sup>a</sup>Compound (2) prepared with addition-fragmentation transfer agent indicated.

## **EXAMPLES 53 - 56**

This procedure illustrates the preparation of blocks from compounds (2) prepared with addition-fragmentation transfer agents by solution polymerization. Use

bGPC (polystyrene equivalents).

<sup>&</sup>lt;sup>c</sup>Compound (2) synthesis carried out at 90°C

of these reagents allows a wide range of monomers to be used and permits various end-group functionality to be introduced into the final product.

## Preparati n of Styrene-block-p-methylstyrene

## A. Preparation of Styrene compound (2)

5		Styrene	30.10 g
	•	Butyl acetate	10.03 g
	·	allyl sulfide 4	1.63 g
	Feed 1: (0.210 mL/min)	Styrene	39.98 g
10		allyl sulfide 4	6.67 g
	Feed 2: (0.063 mL/min)	1,1'-azobis(4-cyclohexanecarbonitrile)	0.283 g
		Butyl acetate	20.01 g

The styrene solution was degassed under nitrogen in a multi-necked 250 mL reactor equipped with a mechanical stirrer. The mixture was heated to reflux (125°C) under nitrogen and the feeds added over 240 min by syringe pump. The compound (2) was isolated by two precipitations into acidified methanol. The conversion based on isolated compound (2) was 50%.

20 GPC:  $\bar{M}_n$  1880  $\bar{M}_w$  2950; Dispersity 1.57.

## B. Preparation of Styrene-block-p-Methylstyrene.

	Styrene compound (2)*	4.02 g
	Butyl acetate	3.53 g
	p-Methylstyrene	0.46 g
Initiator Feed: (0.0177 mL/min)	1,1'-azobis(4-cyclohexanecarbonitrile)	0.108 g
,	Butyl acctate	25.13 g
Monomer Feed: (0.0132 mL/min)	p-Methylstyrene	19.01 g

from Part A

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The styrene compound (2) and butyl acetate were placed in a multi-neck 100 mL reactor under nitrogen and heated to reflux (ca. 125°C). After 10 min, the p-methylstyrene was added. The initiator and monomer feeds were then commenced and added over 24 h. The conversion based on monomer consumption was 84%. GPC:  $\bar{M}_n$  9500,  $\bar{M}_w$  24620; Dispersity 2.59 (includes compound (2) peak)

Table 8. Styrene Block Copolymers by Soluti n Polymerization

		Transfer	Compo	and (2)			Block	See 9
Example	Monomer	Agenta	Mnb	Disp.	$M_n^b$	Disp.	Solvent	% Conv.c
53	pMS	4	1880	1.59	17260	1.61	BAc	90
54	nBMA	4	1880	1.59	9120	1.43	MEK	80
55	nBMA	4	1880	1.59	17930	1.62	BAc	60
56	nBMA	5	2330	1.55	16870	1.42	MEK	50

<sup>a</sup>Compound (2) prepared with addition-fragmentation transfer agent indicated.

bGPC (polystyrene equivalents).

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capprox conversion of compound (2) to block. Monomer conversion is >85%.

## **EXAMPLES 57 - 64**

These examples describe a generalized process for the preparation of narrow polydispersity block copolymers and homopolymers by solution polymerization using vinyl compounds (2) selected from methacrylate dimers and trimers.

The general procedure for the polymerization is to slowly add the selected monomer(s), (i), and free radical initiator (iii) to the unsaturated transfer agent (2) at a rate to avoid excessive buildup in monomer concentration. A small amount of monomer(s) can be added to the transfer agent before the start of polymerization.

The polymerization reaction is started by heating the reactor containing (2) to the desired temperature and starting the gradual and continuous feeds of monomer(s) and free radical initiator.

The length of the polymerization time is dependant upon the temperature chosen and the molecular weight of the polymer desired. Higher temperatures allow for faster monomer feed rates and shortened times.

The choice for initiator depends upon the temperature used. It is convenient to add the initiator either in a solvent or mixed with some of the monomer(s) by means of a controlled rate feeder pump. When no solvent is used, the polymerization runs under bulk conditions at a well controlled rate.

In this process, the amount of initiator does not limit the polymer molecular weight. Reaction of unsaturated ends of (2) controls the degree of polymerization. The total number of moles of free radical initiator is generally set to be less than 15-20% of the number of moles of (2) used in the process.

The following Tables illustrate some of the specific polymers and

their conditions for polymerization which have been practiced using this procedure.

Table 9: Soluti n Process C nditi ns

<u>Ex.</u>	Vinyl Transfer Agent	Monomer(s)	Temp.	Initiator	Polymeriz. Time hr	Comments
57	MMA <sub>3</sub>	MMA 500 gm	60	VAZO 52 4.29 gm 300 ml EAc	29.8	25 gm MMA at start
58	MMA <sub>3</sub> 90 gm	30:70 GMA/CHMA 1400 gm	60	VAZO 52 13.1 gm 386 ml EAc	30	36 gm monomer mix at start
59	MMA <sub>3</sub> 50 gm	GMA 322 gm 50:50		VAZO 52 10.4 gm 290 ml EAc	29.4	16 gm GMA at start
		MMA/BMA 710 gm	60			add GMA then MMA/BMA mix
60	MMA <sub>3</sub> 200 gm	30:70 GMA/MMA 1115 gm	100-132	VAZO 88 12.5 gm 228 ml BAc	9.7	50 gm monomer mix at start
61	GMA <sub>2</sub>	15.6:84.4 GMA/MMA 1460 gm	120	VAZO 88 21.7 gm 346 ml BAc	16.6	15 gm monomer mix at start
62	GMA <sub>2</sub>	12:26:62 IBMA/GMA/MMA 557 gm	130	VAZO 88 11.6 gm 204 ml BAc	11.5	5 gm monomer mix at start
63	GMA <sub>2</sub>	23:20:57 BMA/GMA/MMA 755 gm	120	VAZO 88 12.8 gm 203 ml BAc	24	2 gm monomer mix at start
64	GMA <sub>2</sub>	10:32:58 IBMA/GMA/MMA 455 gm	140	t-butyl- perbenzoaie 6.8 gm	99	13 gm monomer mix at start

Table 10 summarizes the polymerization illustrated in Table 9.

Table 10: Summary of Narrow Polydispersity Polymers Made by Solution Process

Example	Polymer Description	Mn (by GPC)	Dispersity
57	MMAn	2700	1.58
58	MMA <sub>2</sub> //GMA <sub>m</sub> CHMA <sub>n</sub> MMA <sub>p</sub> //MMA	4970	1.44
59	MMA2//GMAm//MMAnBMAp//MMA	6800	1.48
60	MMA2//GMAmMMAn//MMA	2170	1.44
61	GMA//MMA <sub>m</sub> GMA <sub>n</sub> //GMA	3360	1.45
62	GMA//MMAmIBMAn GMAp//GMA	3420	1.3
63	GMA//MMA <sub>m</sub> BMA <sub>n</sub> GMA <sub>D</sub> //GMA	4560	1.49
64	GMA//MMA <sub>m</sub> BMA <sub>n</sub> GMA <sub>p</sub> //GMA	2880	1.45

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#### **CLAIMS**

1. A process for the synthesis of polymers of the general formula:

$$\begin{array}{c|c} CH_2 & V & X \\ \hline \\ Q & V & M \\ \end{array}$$

comprising contacting:

(i) a vinyl monomer of the formula

 $CH_2 = CUV$ 

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(ii) a vinyl-terminated compound of formula

$$- \left( \begin{array}{c} X \\ X \\ CH_2 \\ Y \end{array} \right)_n^{Z}$$

and

- (iii) free radicals, produced from a free radical source; and
- increasing the molar amount of polymers by one or both of:
  - (a) decreasing the molar amount of (iii) for any given conversion of (i); and
  - (b) decreasing the molar amount of (i) for any given conversion of (iii); wherein:
- Q is selected from the group H, R, OR, O<sub>2</sub>CR, halogen, CO<sub>2</sub>H, CO<sub>2</sub>R, CN, CONH<sub>2</sub>, CONHR and CONR<sub>2</sub>;

U is selected from H and R;

V is selected from R, OR, O<sub>2</sub>CR, halogen, CO<sub>2</sub>H, CO<sub>2</sub>R, CN, CONH<sub>2</sub>, CONHR and CONR<sub>2</sub>:

20 X is selected from H and R;

Y is selected from R, OR, O<sub>2</sub>CR, halogen, CO<sub>2</sub>H, CO<sub>2</sub>R, CN, CONH<sub>2</sub>, CONHR and CONR<sub>2</sub>;

Z is selected from the group H, SR1, S(O)R, S(O)2R, R2 and R3;

R is selected from the group substituted and unsubstituted alkyl, aryl, aralkyl, alkaryl and organosilyl groups wherein the substituent(s) are independently

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selected from the group carboxyl, epoxy, hydroxyl, alkoxy, amino and halogen:

- R1 is selected from the group H, substituted and unsubstituted alkyl, aryl, aralkyl, alkaryl, organosilyl wherein the substituent(s) are independently selected from the group carboxyl, epoxy, hydroxyl, alkoxy, amino and halogen:
- R<sup>2</sup> is selected from the group free radical initiator-derived fragments of substituted and unsubstituted alkyl, cycloalkyl, aryl, aralkyl, alkaryl, organosilyl, alkoxyalkyl, alkoxyaryl, sulfate groups wherein the substituent(s) are independently selected from R, OR1, O2CR, halogen, CO2H (and salts), CO<sub>2</sub>R, CN, CONH<sub>2</sub>.

- R3 is selected from the group radical chain transfer agent-derived fragments of substituted and unsubstituted alkyl, cycloalkyl, aryl, aralkyl, alkaryl, organosilyl, alkoxyalkyl, alkoxyaryl, and PR2 groups wherein the substituent(s) are independently selected from R, OR1, SR, NR2, NHR, O<sub>2</sub>CR, halogen, CO<sub>2</sub>H, CO<sub>2</sub>R, CN, CONH<sub>2</sub>, CONHR, and CONR<sub>2</sub>. m and n are independently  $\geq 1$ ; and when either or both of m and n are greater than 1, the repeat units are the same
- 20 or different.
- 2. A process according to Claim 1 wherein (i) is selected from one or more of following monomers, methyl methacrylate, ethyl methacrylate, propyl methacrylate, butyl methacrylate, 2-ethylhexyl methacrylate, isobornyl 25 methacrylate, methacrylic acid, benzyl methacrylate, phenyl methacrylate, methacrylonitrile, alpha methyl styrene, methyl acrylate, ethyl acrylate, propyl acrylate, butyl acrylate, 2-ethylhexyl acrylate, isobornyl acrylate, acrylic acid, benzyl acrylate, phenyl acrylate, acrylonitrile, styrene, functional methacrylate, acrylates and styrene selected from glycidyl methacrylate, 2-hydroxyethyl 30 methacrylate, hydroxypropyl methacrylate, hydroxybutyl methacrylate, diethylaminoethyl methacrylate, triethyleneglycol methacrylate, itaconic anhydride, itaconic acid, glycidyl acrylate, 2-hydroxyethyl acrylate, hydroxypropyl acrylate, hydroxybutyl acrylate, diethylaminoethyl acrylate, triethyleneglycol acrylate, methacrylamide, N-tert-butyl methacrylamide, N-n-butyl methacrylamide, N-35 methyl-ol methacrylamide, N-ethyl-ol methacrylamide, N-tert-butyl acrylamide, Nn-butyl acrylamide, N-methyl-ol acrylamide, N-ethyl-ol acrylamide, vinyl benzoic

acid, diethylamino styrene, alphamethylvinyl benzoic acid, diethylamino alphamethylstyrene, para-methylstyrene, p-vinyl benzene sulfonic acid, trimethoxysilylpropyl methacrylate, triethoxysilylpropyl methacrylate, tributoxysilylpropyl methacrylate, dimethoxymethylsilylpropyl methacrylate, diethoxymethylsilylpropyl methacrylate, dibutoxymethylsilylpropyl methacrylate, diisopropoxymethylsilylpropyl methacrylate, dibutoxysilylpropyl methacrylate, diisopropoxysilylpropyl methacrylate, trimethoxysilylpropyl acrylate, triethoxysilylpropyl acrylate, triethoxysilylpropyl acrylate, diethoxymethylsilylpropyl acrylate, diethoxymethylsilylpropyl acrylate, dibutoxymethylsilylpropyl acrylate, diisopropoxymethylsilylpropyl acrylate, diisopropoxymethylsilylpropyl acrylate, dimethoxysilylpropyl acrylate, diethoxysilylpropyl acrylate, dibutoxysilylpropyl acrylate, dibutoxysilylpropyl acrylate, dibutoxysilylpropyl acrylate, dibutoxysilylpropyl acrylate, dibutoxysilylpropyl acrylate, dibutoxysilylpropyl acrylate, vinyl acetate, and vinyl butyrate, vinyl chloride, vinyl fluoride, vinyl bromide.

3. A process according to Claim 1 wherein (ii) is selected where Q, -XYC-CH<sub>2</sub>-, Z and "n" are independently selected from one or more of the following:

Q = H, methyl, ethyl, butyl, cyclohexyl, methoxy, ethoxy, propoxy, butoxy, phenoxy, acetate, propionate, butyrate, benzoate, carboxylate, chlorine, bromine, fluorine, iodine, nitrile, amide, N-methylamide, N-ethylamide, N-propylamide, N,N-dimethylamide, N,N-diethylamide, N,N-dibutylamide, N-methyl-N-ethylamide, carboxylate ester of methyl, ethyl, propyl, butyl, benzyl, phenyl, 2-hydroxyethyl, 3-hydroxypropyl, 2-hydroxypropyl, 4-hydroxy-butyl, 3-hydroxybutyl, 2-hydroxybutyl, 3-trimethoxysilylpropyl, 3-triethoxysilylpropyl, 3-tributoxy-silylpropyl, 3-tri(isopropoxy)silylpropyl, 2-aminoethyl, 3-amino-propyl, 2-aminopropyl, 4-aminobutyl, 3-aminobutyl, 2-epoxypropyl, or 3-epoxypropyl;

-XYC-CH<sub>2</sub>- = derived from one or more of the following monomers: methyl methacrylate, ethyl methacrylate, propyl methacrylate, butyl methacrylate, 2-ethylhexyl methacrylate, isobornyl methacrylate, methacrylic acid, benzyl methacrylate, phenyl methacrylate, methacrylonitrile, styrene, alpha methyl styrene, glycidyl methacrylate, 2-hydroxyethyl methacrylate, hydroxypropyl methacrylate, hydroxybutyl methacrylate, diethylaminoethyl methacrylate, triethyleneglycol methacrylate, N-tert-butyl methacrylamide, N-n-butyl methacrylamide, N-methyl-ol methacrylamide, N-ethyl-ol methacrylamide, trimethoxysilylpropyl methacrylate, triethoxysilylpropyl methacrylate, triethoxysilylpropyl methacrylate, dimethoxymethylsilylpropyl methacrylate, diethoxymethylsilylpropyl

methacrylate, diisopropoxymethylsilylpropyl methacrylate, dimethoxysilylpropyl methacrylate, diethoxysilylpropyl methacrylate, dibutoxysilylpropyl methacrylate, diisopropoxysilylpropyl methacrylate, methyl acrylate, ethyl acrylate, propyl acrylate, butyl acrylate, 2-ethylhexyl acrylate, isobornyl acrylate, acrylic acid, benzyl acrylate, phenyl acrylate, acrylonitrile, styrene, glycidyl acrylate, 2-hydroxyethyl acrylate, hydroxypropyl acrylate, hydroxybutyl acrylate, diethylaminoethyl acrylate. triethyleneglycol acrylate, N-tert-butyl acrylamide, N-n-butyl acrylamide, N-methyl-ol acrylamide. N-ethyl-ol acrylamide, vinyl benzoic acid. diethylamino styrene, p-vinyl benzene sulfonic acid, para-methylstyrene. trimethoxysilylpropyl acrylate, triethoxysilylpropyl acrylate, tributoxysilylpropyl acrylate, dimethoxymethylsilylpropyl acrylate, diethoxymethylsilylpropyl acrylate, dibutoxymethylsilylpropyl acrylate, diisopropoxymethylsilylpropyl acrylate, dimethoxysilylpropyl acrylate, diethoxysilylpropyl acrylate, dibutoxysilylpropyl acrylate, diisopropoxysilylpropyl acrylate, vinyl acetate, and vinyl butyrate.

 $Z = H, SR^1, S(O)R, S(O)_2R, R^2, or R^3;$ 

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R = methyl, ethyl, propyl, n-butyl, tert-butyl, isobutyl, phenyl, benzyl, 2-phenylpropyl, trimethoxysilylpropyl, tributoxysilyl-propyl, hydroxymethyl, 2-hydroxyethyl, 2-epoxypropyl, 2-amino-propyl, methoxymethyl, 2-methoxyethyl, 2-ethoxyethyl, 2-methoxy-propyl, or heptafluoropropyl;

R<sup>1</sup> = hydrogen, methyl, ethyl, propyl, n-butyl, tert-butyl, isobutyl, phenyl, benzyl, 2-phenylpropyl, trimethoxysilyl-propyl, tributoxysilylpropyl, hydroxymethyl, 2-hydroxyethyl, 2-hydroxypropyl, 2-epoxypropyl, 2-aminoethyl, 2-aminopropyl, methoxymethyl, 2-methoxyethyl, 2-methoxypropyl, or heptafluoropropyl;

R<sup>2</sup> = 2,4-dimethylpentanenitrile, 2-methylbutanenitrile, 2-methylpropanenitrile, cyclohexanecarbonitrile, 4-cyanopentanoic acid, N,N'-dimethyleneisobutyramidine, N,N'-dimethyleneisobutyramidine hydrochloride, 2-amidinopropane, 2-amidinopropane hydrochloride, 2-methyl-N-[1,1-bis(hydroxymethyl)ethyl] propionamide, 2-methyl-N-[1,1-bis(hydroxymethyl)-2-hydroxyethyl] propionamide, 2-methyl-N-(2-hydroxyethyl) propionamide, isobutyamide hydrate, hydroxyl, or sulfate;

R<sup>3</sup> = 1,1-bis(carboethoxy)ethyl, 1,1-bis(carbomethoxy)ethyl, bis(carboethoxy)methyl, bis(carbomethoxy)methyl, 1-carboethoxy-1-phenyl ethyl, 1-carbomethoxy-1-phenyl ethyl, chlorine, bromine, fluorine, iodine, 1-methyl-1[carbo(2-epoxypropoxy)]ethyl, 1-methyl-1-[carbo(2-hydroxyethoxy)]ethyl,

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> 1-methyl-1-[carbo(4-hydroxy-butoxy)]ethyl, 1-methyl-1-[carbo(2aminoethoxy)]ethyl, 1-methyl-1-[carbo(3-trimethoxysilylpropoxy)]ethyl, 1methyl-1-[carbo(3-triethoxysilylpropoxy)]ethyl, 1-methyl-1-[carbo(3dimethoxyethoxysilylpropoxy)]ethyl, 1-methyl-1-[carbo(2-methoxyethoxy)]ethyl, (N,N-di-methylamino)(cyano)methyl, N,N-dimethylamino-(benzo)methyl, thiomethyl(cyano)methyl, or thioethyl(cyano)methyl;

 $n \ge 1$  and when greater than 1, the repeat units are the same or different.

- 4. A process according to Claim 1 wherein (iii) is selected from one or more of the following: 2,2'-azobis(isobutyronitrile), 2,2'-azobis(2-butanenitrile), 4,4'-10 azobis(4-cyanpentanoic acid), 1,1'-azobis(cyclohexanecarbonitrile), 2-(t-butylazo)-2-cyanopropane, 2,2'-azobis[2-methyl-N-(1,1)- bis(hydoxymethyl)-2-hydroxyethyl] propionamide, 2,2'-azobis[2-methyl- N-hydroxyethyl)]-propionamide, 2,2'azobis(N,N'-dimethylene-isobutyramidine) dichloride, 2,2'-azobis(2amidinopropane) dichloride, 2,2'-azobis(N,N'-dimethyleneisobutyramide), 2,2'-15 azobis(2-methyl- N-[1,1-bis(hydroxymethyl)-2-hydroxyethyl] propionamide), 2,2'azobis(2-methyl-N-[1,1-bis(hydroxymethyl)ethyl] propionamide), 2,2'-azobis[2methyl-N-(2-hydroxyethyl) propionamide], 2,2'-azobis(iso-butyramide) dihydrate, t-butylperoxyacetate, t-butylperoxybenzoate, t-butylperoxyoctoate, tbutylperoxyneodecanoate, t-butylperoxyiso-butyrate, t-amylperoxypivalate, t-20 butylperoxypivalate, cumene hydroperoxide, dicumyl peroxide, benzoyl peroxide, potassium persulfate, ammonium persulfate.
- 5. Process of Claim 1 wherein compound (2) is a block copolymer of general structure (1) and the product is a tri- or multi-block copolymer. 25
  - 6. Process of Claim 1 employing a temperature above 100°C.
- 7. A composition consisting essentially of a polymer with a polydispersity <1.7, having the formula 30

$$\begin{array}{c|c} CH_2 & V & X \\ \hline & CH_2 & CH_2 \\ \hline & & \\ & &$$

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wherein:

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Q is selected from the group H, R, OR, O<sub>2</sub>CR, halogen, CO<sub>2</sub>H, CO<sub>2</sub>R, CN, CONH<sub>2</sub>, CONHR and CONR<sub>2</sub>;

U is selected from H and R:

V is selected from R, OR, O<sub>2</sub>CR, halogen, CO<sub>2</sub>H, CO<sub>2</sub>R, CN, CONH<sub>2</sub>, CONHR and CONR<sub>2</sub>.

X is selected from H and R:

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Y is selected from R, OR, O<sub>2</sub>CR, halogen, CO<sub>2</sub>H, CO<sub>2</sub>R, CN, CONH<sub>2</sub>, CONHR and CONR<sub>2</sub>;

Z is selected from the group H, SR<sup>1</sup>, S(O)R, S(O)<sub>2</sub>R, R<sup>2</sup> and R<sup>3</sup>;

- R is selected from the group substituted and unsubstituted alkyl, aryl, aralkyl, alkaryl and organosilyl groups wherein the substituent(s) are independently selected from the group carboxyl, epoxy, hydroxyl, alkoxy, amino and halogen;
  - R<sup>1</sup> is selected from the group H, substituted and unsubstituted alkyl, aryl, aralkyl, alkaryl, organosilyl wherein the substituent(s) are independently selected from the group carboxyl, epoxy, hydroxyl, alkoxy, amino and halogen;
  - R<sup>2</sup> is selected from the group free radical initiator-derived fragments of substituted and unsubstituted alkyl, cycloalkyl, aryl, aralkyl, alkaryl, organosilyl, alkoxyalkyl, alkoxyaryl, sulfate groups wherein the substituent(s) are independently selected from R, OR<sup>1</sup>, O<sub>2</sub>CR, halogen, CO<sub>2</sub>H (and salts), CO<sub>2</sub>R, CN, CONH<sub>2</sub>,

- R<sup>3</sup> is selected from the group radical chain transfer agent-derived fragments of substituted and unsubstituted alkyl, cycloalkyl, aryl, aralkyl, alkaryl, organosilyl, alkoxyalkyl, alkoxyaryl, and PR<sub>2</sub> groups wherein the substituent(s) are independently selected from R, OR<sup>1</sup>, SR, NR<sub>2</sub>, NHR, O<sub>2</sub>CR, halogen, CO<sub>2</sub>H, CO<sub>2</sub>R, CN, CONH<sub>2</sub>, CONHR, and CONR<sub>2</sub>, m and n are independently ≥ 1; and
- when either or both of m and n are greater than 1, the repeat units are the same or different.
  - 8. A composition according to Claim 7 wherein the polydispersity is < 1.5.
- 9. A polymer made by the process of Claim 1.
  - 10. A polymer made by the process of Claim 5.

## INTERNATIONAL SEARCH REPORT

Interny al Application No PCT/US 95/14428

4 67 45			101/03 33/14426
ÎPC 6	SIFICATION OF SUBJECT MATTER C08F2/38		
According	to International Patent Classification (IPC) or to both national	classification and IPC	•
	DS SEARCHED		
Mimmum	documentation searched (classification system followed by class	fication symbols)	
IPC 6	C08F		
Document	ation searched other than minimum documentation to the extent	that such documents are include	ded in the fields searched
Classic		<u>.</u>	
Electronic	data base consulted during the international search (name of data	t base and, where practical, se	arch terms used)
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C. DOCUM	MENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of ti	he relevant names	Polomer and the second
	The state of the s	ne reievant passages	Relevant to claim No.
P,X	WO,A,95 12568 (COMMONWEALTH SCI INDUSTRIAL RESEARCH ORGANISATION 1995 see the whole document	ENTIFIC AND N) 11 May	1-10
Α	EP,A,O 261 942 (DU PONT DE NEMO COMPANY) 30 March 1988 see claims 1-14	OURS AND	1
A	EP,A,O 597 747 (RHONE-POULENC C May 1994 see claims 1-7	HIMIE) 18	1
A	WO,A,92 09639 (BAUSCH & LOMB IN 11 June 1992 see claims 1-16	CORPORATED)	1
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<del>_</del>	er documents are listed in the continuation of box C.	X Patent family men	bers are listed in annex.
"A" docume	egories of cited documents : int defining the general state of the art which is not ired to be of particular relevance	or priority date and no	ed after the international filing date of in conflict with the application but principle or theory underlying the
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citation	s cited to establish the publication date of another or other special reason (as specified)	"Y" document of particular	relevance; the claimed invention o involve an inventive step when the
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TAKET UM	nt published prior to the international filing date but an the priority date claimed	in the art. "&" document member of t	he same patent family
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Intern al Application No
PCT/US 95/14428

(Continue	tion) DOCUMENTS CONSIDERED TO BE RELEVANT	In the second of the
ategory *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
	WO,A,93 22355 (DU PONT DE NEMOURS AND COMPANY) 11 November 1993 cited in the application see claims 1-68	1

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## INTERNATIONAL SEARCH REPORT

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